

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS



Department of Administration

Minority Business Enterprise Compliance Office

One Capitol Hill

Providence, RI 02908-5860

Office: (401) 574-8670

Fax: (401) 574-8387

RI Relay: 711

www.mbe.ri.gov

August 8, 2014

Ms. Lori DeRobbio

Capital City Construction & Management Services, Inc.

1441 Park Avenue, Suite G

Cranston, RI 02920

Dear Ms. DeRobbio:

Based on the annual review package provided by you, a determination has been made that your firm remains eligible for certification as a WBE for the State of Rhode Island Minority Business Enterprise Program. Your "Minority Business Certification Number" which you can utilize as proof of your status is MBCN 1476. Your company has been approved as a **WBE** to conduct business primarily as a "**general contracting, construction management, and financial management services**" firm under primary NAICS Code 236118 and additional NAICS Codes 236220, 238130, 238310, 238320, 238350, 541618.

Your certification remains valid until **9/30/2016** unless revoked sooner based on a determination of ineligibility. It is your responsibility to notify the Minority Business Enterprise Compliance Office of any changes in the ownership or control of your business within 30 days of such changes. At the end of your certification period, if you wish to recertify, your company will undergo a substantive review, including a new site visit, as applicable.

In order to maintain your certification during the certification period, you must submit your annual review package sixty (60) days prior to your annual review date which is **9/30/2015**. Your annual review package must include: (a) a completed No Change Affidavit; (b) current corporate financial statements; (c) current corporate and personal federal tax returns including all federal schedules and attachments, as well as copies of all federal tax returns for all affiliate firms, if applicable; (d) completed Personal Financial Statement; (e) a copy of your current certification letter from your home state UCP if firm is not based in Rhode Island; and (f) copies of all RI licenses if operating in a licensed industry. Failure to submit your annual review package will result in an administrative removal of your certification.

We wish you success in the State of Rhode Island's Minority Business Enterprise Program; and, if we can be of further assistance to you, please contact this office.

Sincerely,

A handwritten signature in cursive script that reads "Charles C. Newton".

Charles C. Newton, Administrator
MBE Compliance Office

An Equal Opportunity Affirmative Action Employer

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Capital City Construction & Management Services, Inc.
1441 Park Avenue, Suite G
Cranston, RI 02920

Dear Ms. DeRobbio:

Based on the annual review package provided by you, a determination has been made that your firm remains eligible for certification as a **DBE**. If your company has been also identified as a DBE, be advised that the MBE Compliance Office, acting as certification agent for RIDOT, RIAC, and RIPTA, has determined that your firm continues to meet the certification criteria as established by U.S. DOT under 49 CFR Part 26. The number that you may utilize as proof of your certification is MBCN 1476. Your company has been approved as a **DBE** to conduct business primarily as a "**general contracting, construction management, and financial management services**" firm under primary NAICS Code 236118 and additional NAICS Codes 236220, 238130, 238310, 238320, 238350, 541618.

Please be advised that it is your responsibility to notify the Minority Business Enterprise Compliance Office of any changes in the ownership or control of your business within 30 days of such changes. In order to maintain your certification as a DBE, you must submit your annual review package sixty (60) days prior to your annual review date which is **9/30/2015**. Your annual review package must include: (a) a completed No Change Affidavit; (b) current corporate financial statements; (c) current corporate and personal federal tax returns including all federal schedules and attachments, as well as the corporate federal tax returns for all affiliate firms, if applicable; (d) completed Personal Financial Statement; (e) a copy of your current certification letter from your home state UCP if firm is not based in Rhode Island, and (f) copies of current RI licenses if firm is operating in a licensed industry. Please be advised that the review of your firm's continued eligibility will also include periodic site visits. Failure to submit your annual review package will result in an administrative removal of your certification.

We wish you success in the Department of Transportation's Disadvantaged Business Enterprise Program, as applicable; and, if we can be of further assistance to you, please contact this office.

Sincerely,

Charles C. Newton, Administrator
MBE Compliance Office